## Building an Information Sharing Environment: Concepts and Tools You Can Use

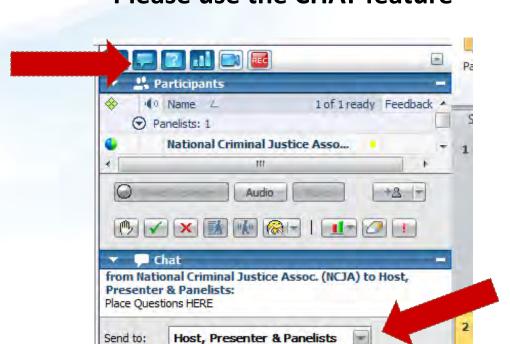
May 14, 2015 3:00-4:30 pm ET

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### **Questions?**

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### **Objectives**



- Understand the concepts behind ISEs
- Have a greater awareness of how states are implementing ISEs
- Understand the benefits of using national standards to facilitate ISEs
- Know where to access tools and documents to advance information sharing in your state or jurisdiction
- Know how to get involved in the ISE movement

### **Presenters**



Paul Wormeli, President Wormeli Consulting



Kshemendra Paul, Program Manager Information Sharing Environment



Linda Rosenberg, Executive Director Pennsylvania Commission on Crime and Delinquency



Moderator, Tammy Woodhams Senior Staff Associate NCJA Center for Justice Planning





Kshemendra Paul, Program Manager, Information Sharing Environment

Paul Wormeli, Information Sharing Environment May 2015

### AN ISE IS....



- An ATTITUDE that the need to share outweighs the need to know
- A PHILOSOPHY that making information discoverable is the basis for all system design decisions
- A RECOGNITION that information is an asset and must be safeguarded
- The COLLECTECTIVE EXPERIENCE of many communities of interest, including practices, standards and tools that make information sharing easier and less costly to implement

### AN ISE IS....



An ISE is a environment that promotes seamless information exchange between participating department and agencies across federal, regional, state, and local levels. The ISE provides:

- A set of services linked together that foster information exchange
- A method to identify users from multiple agencies and grant them access to selected application and services
- Common standards allowing information to be exchanged and interpreted by connected systems
- A way to test and certify software to ensure it meets the standards

### VALUE TO THE MISSION



- Eliminates duplicate data entry across systems
- Delivers information to the person who needs it at the time a decision must be made
- Reduces errors and mistakes in handling people and cases, reducing liabilities
- Safeguards sensitive information
- Increases overall productivity
- Exemplifies best practices in the use of IT
- SAVES MONEY



# THE ROLE OF THE PROGRAM MANAGER, INFORMATION SHARING ENVIRONMENT



### **Poll Questions**

Do you have an existing plan or do you plan to develop a Statewide Information Sharing Environment?

Do you have a governance structure to support a Statewide Information Sharing Environment?

### 1SE?

### OUR PARTNERS COME FIRST

- Federal, state, local, tribal, and territorial agency partners
- National public sector professional associations & operational networks
- International standards orgs & IT industry associations
- Private sector critical infrastructure associations

### **OVERVIEW**



- Strategy To enhance the sharing of public-safety related information across partnerships at the federal, regional, statewide, and local departments and agencies through the use of information sharing best practices to deliver timely, accurate, and comprehensive information to achieve mission effectiveness
- Goal To ensure that law enforcement, national intelligence, first responders, and other public safety stakeholders have access to timely, accurate, and comprehensive information when they need it, where they need it, and in which format, precluded only by policy or privacy guidelines

### NATIONWIDE CHALLENGES

ISE?

Evolving & Converging Threats & Risks ———National Approaches to Interoperability

Collaboration Imperative Common Operating Models

Strengthening Legitimacy Consistent, Transparent & Distributed Policies

Enterprise Data Management Integrated Capabilities & Shared Services

300+ million People

18,000

LE Agencies

Officers

250,000

1.2 million 911 Operators

Firefighters

2.2 million
Security Officers

18 CI/KR Sectors

Numbers are estimates

**78** Fusion Centers

**6** RISS Centers

28 HIDTAs

FBI

NCIJTF • CJIS • FIGs • JTTFs • JRIGs • TSC

DHS

I&A - ICE - CBP - TSA - DNDO - NPPD

DOJ

ATF DEA USMS OJP

Maritime

Domain

DoD

**Niets** 

NCTC JCAT

**Air** Domain

Protection of Privacy, Civil Rights, and Civil Liberties

State



### ISE Mission Portfolios



Statewide & Regional ISE



Cybersecurity
Information Sharing



Watchlisting, Screening, & Encounters



Domain Awareness



Transnational Organized Crime



Incident Management

### ISE STANDARDS & TECHNOLOGY PORTFOLIOS





**Project Interoperability** 



Federated Identity, Credential, and Access Management



**Shared Sensitive but Unclassified Services** 



### ISE ENABLING PORTFOLIOS



Governance



Digital Engagement & Performance



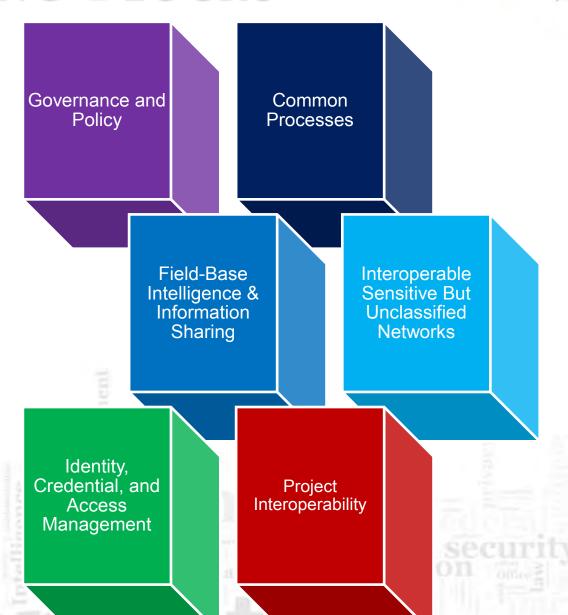
Stakeholder Capacity

Building

Staff Operations & Knowledge Management

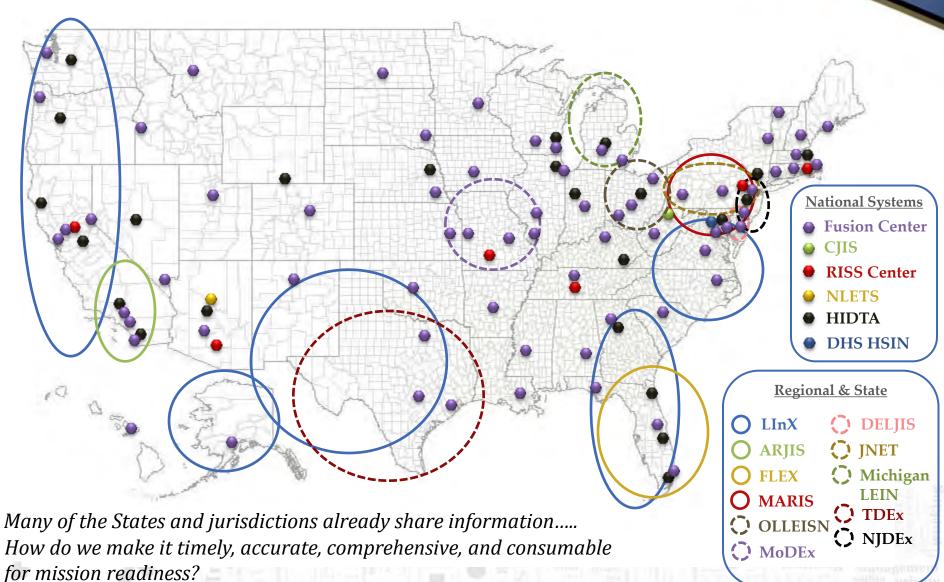
### **BUILDING BLOCKS**





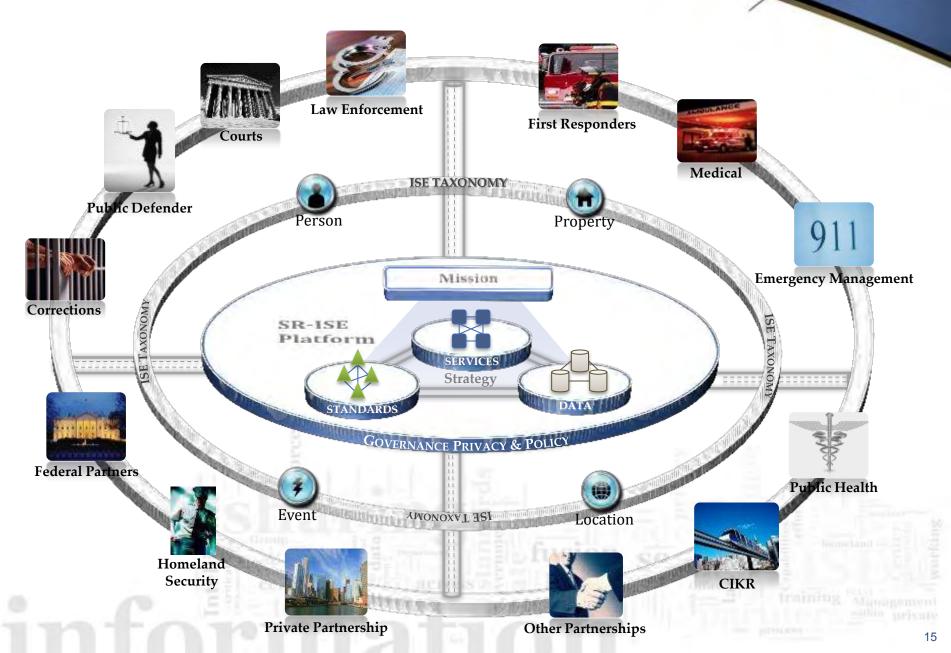
### INFORMATION SHARING EFFORTS





### **DIVERSE LANDSCAPE**





### STATE AND REGIONAL-ISE **FRAMEWORKS**





Law Enforcement



**Courts** 



**Federal Partners** 



First Responders



**Fusion Centers** 



Other Authorized Orgs









Law Enforcement

Courts

Corrections

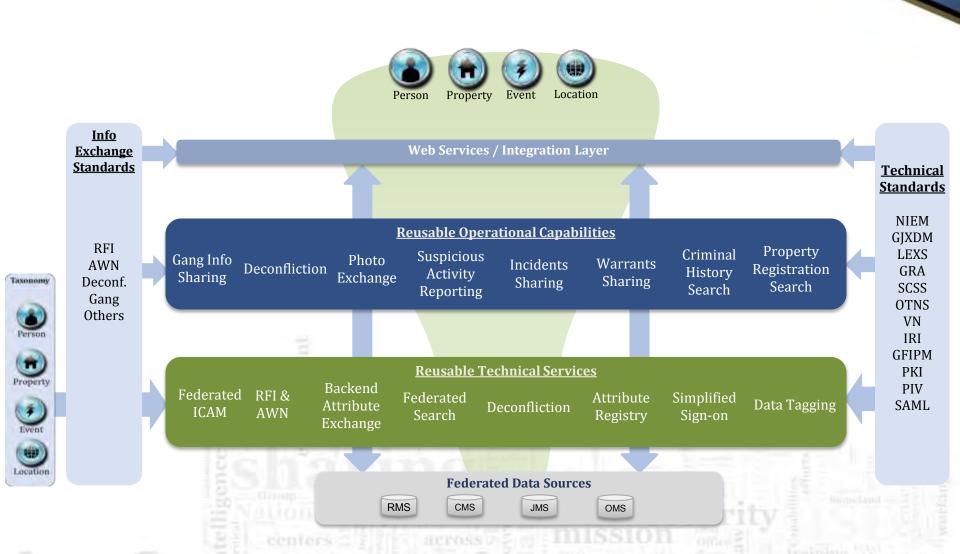


Other Partnerships



### CAPABILITIES & SERVICES

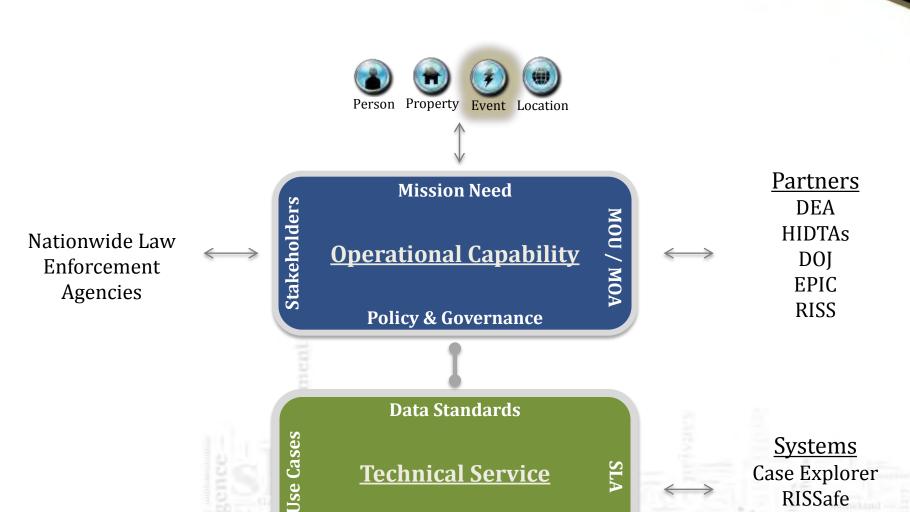




### **EXAMPLE: DECONFLICTION**



SCALABLE & REUSABLE



**Technical Standards** 

**SAFETNet** 

### STANDARDS COORDINATING COUNCIL



















IN CYBERSPACE
Enhancing Online Choice, Efficiency,
Security, and Privacy







Allets



IJIS Institute







Advancing Government Through Collaboration, Education and Action

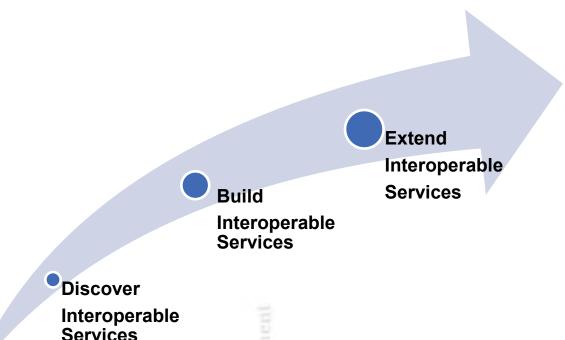




NATIONAL INFORMATION EXCHANGE MODEL

### PROJECT INTEROPERABILITY





the project is build upon

Authorities, Governance & Policy, Performance Management, Budget and Resourcing, Communications & Outreach

#### The Capabilities

- **Architecture Alignment**
- Attribute Exchange
- Common Profile
- Data Aggregation (DARA)
- **Exchange Patterns**
- Geospatial (GIRA)
- Identity and Access Management
- Maturity Model
- **National Information Exchange Model**
- Reference Architecture
- Standards and Specification Framework
- Springboard



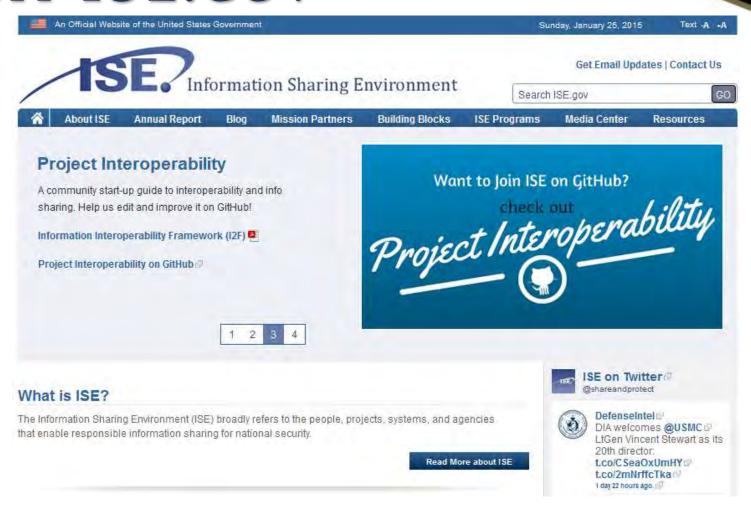
### SUMMARY/SOLUTION



- Deploy, leverage and improve ISE tools, management processes, and initiatives
- Anchor tools and initiatives, and grow and scale solutions, with and through partners
- Support transformation via exemplar solutions, training, and engagement

### VISIT ISE.GOV







You

### **Commonwealth of PA Profile**

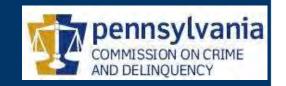
Pennsylvania is the 33rd largest, the 6th most populous, and the 9th most densely populated of the 50 states. The state's five most populous cities are Philadelphia, Pittsburgh, Allentown, Erie, and Reading. The state capital is Harrisburg.

- PA does not have a Department of Public Safety JNET is our ISE
- PA has a centralized IT System for our Courts both Common Pleas and Minor Judiciary.
- PA has 67 counties, over 3,000 municipalities and 1,100 police departments.
- PA has 34,000 law enforcement employees including 28,000 sworn officers in federal, state, regional and local agencies.

<u>CJ Policy Priority</u> - Similar to the rest of the nation, our violent crime rate continues to drop, and the majority of individuals incarcerated in our jails and prisons are there as a result of addiction, mental illness or both. Developing strategies to responsibly reduce our investment in incarceration and to redirect these savings into programs that reduce the likelihood of recidivism remains one of our state's top criminal justice policy priorities.



### **About PCCD**

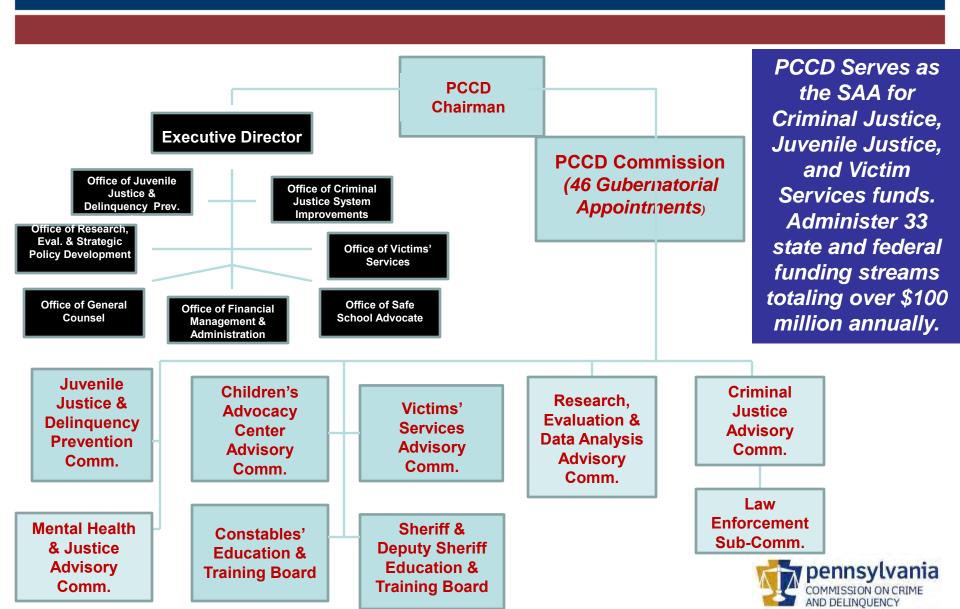


Established by law in 1978, the Commission on Crime and Delinquency serves as the justice planning and policymaking agency for the Commonwealth by bringing together diverse and talented stakeholders from state and local criminal and juvenile justice agencies including: judges, prosecutors, law enforcement officers, probation officers, correction officers, treatment providers, educators, victim service providers, legislators and community members.

### Our goals are to:

- Empower communities through collaboration.
- Protect and support crime victims.
- Enhance law enforcement.
- Break the linkage of mental health, intellectual disabilities, substance abuse and crime.
- Launch evidence-based, innovative programs.
- Research justice trends that warrant attention and action.
- Provide technological and information-sharing tools in order to support our goals.

### **PCCD Overview**



### **JNET Origin**

#### Commonwealth of Pennsylvania GOVERNOR'S OFFICE

#### EXECUTIVE ORDER

Promylinata Justice Network (JNET) Gavernance Structure				3400-3
(unc 8, 1999		B	homes though	Alice .
			Thomas L Rid	
WHEREAS	tion and open	was established	nprove Management and C of to survey and examine the agencies in order to secure g my; and	endministrative organiza
WHEREAS,	Sections 501 and 502 of The Administrative Code of 1929 (71 P.S. §5 18) and 182) require administrative departments and several independent and departmental administrative boards to coordinate their work and activities with other departments, boards, and commissions, and			
WHEREAS,	historically, justice information systems in the Commonwealth have been fragmented secondary to the development of independent, automanous information systems designed to address agency-specific operational needs; and			
WHEREAS,	the Governor's Office of Administration initiated the Integrated Criminal Justice (ICI) Project in January 1996 for the purpose of developing a strategic vision for the sharing of electronic information between justice and justice-affiliated agencies a order to improve operating efficiencies and enhance public safety throughout the Commonwealth, and			
WHEREAS,	in May 1997, the Governor's Office of Administration formed a steering committee of agency representatives from the 10 justice and justice-affiliated agencies a develop and implement an initial bineprint for the Justice Network (JNET) Project and			
WHEREAS:	the purpose of this Executive Order is to create and formalize the JNET Government Structure for the Commonwealth's Justice Network to include the JNET Executive Council, the JNET Steering Committee, and the JNET Office; and			
WHEREAS.	the establishment of a JNET Governmes Structure will facilitate the development a cost-offactive, indegrated justice information system that maximizes standardition of information and communications technology across the Commonwealth's justice and justice-officiated agencies and, thereby, improves public safety.			



### JNET/PCCD Partnership

- JNET A secure infrastructure that facilitates one-time entry of data by local, county, state, and federal justice agencies thus significantly improving, and continuing to improve, the operations and effectiveness of state, county, and local justice agencies. JNET facilitates the secure exchange of data - horizontally between state justice agencies, and vertically between state and county justice agencies.
- PCCD An infrastructure of relationships between local, county, state, and federal justice agencies which builds trust and, in turn, facilitates collaboration, coordination and cooperation.



### **County CJ Planning Boards**



County Criminal Justice Advisory Boards (CJABs) are local planning and problem solving groups. The top-level county officials who serve on CJABs take a systemic and policy-level perspective on a broad range of criminal justice issues.

### SAA Role in building an ISE

### **Investments in Statewide Systems**

- Local Law Enforcement Systems CNET
- Central Booking Sites Offender ID
- County Criminal Justice Systems County RMS
- County Reporting to JNET
- Law enforcement information sharing LEJIS
- State Criminal Justice Systems PSP, JCJC, PCS

### **Interstate Information Sharing – MARIS**



### **Condition of Funding – E-grants**

The Global Standards Council (GSC) was created to support the work of DOJ's Global working groups and related bodies by coordinating the establishment of a common, consistent, and standards-based approach to implementing justice information sharing solutions. To further this goal, the GSC developed the Global Standards Package (GSP) which describes a full information sharing technology standards implementation suite that addresses data standardization, messaging architecture, security, and privacy requirements. In order to promote consistency and interoperability of systems across the justice and public safety community, OJP requires grantee compliance to the GSP and all components thereof. In addition to offering a common mechanism to share information across agencies, the GSP also promotes the use of open, consensus-based standards to avoid proprietary or restrictive approaches to system integration and interface development. This approach enables adopters to fully realize the cost savings and operational efficiencies that have been demonstrated by those who have already implemented elements of the GSP.

Compliance to the GSP requires conformance to all components of the GSP whenever applicable. If the grantee is planning to exchange information across agencies or systems using a common data format, such format is required to be conformant to the National Information Exchange Model (NIEM). If the grantee is planning to adopt a service-oriented approach to sharing information, it must leverage the Global Reference Architecture (GRA), and so on. The primary components of the GSP are as follows:

- National Information Exchange Model (NIEM)
- · Global Reference Architecture (GRA)
- Global Federated Identity and Privilege Management (GFIPM)

In addition, certain GSP components enable the development of national, or "reference," specifications that further promote reuse for enhanced interoperability. Whenever applicable, these reference specifications should be used as a foundation for implementation of complementary business processes. If the grantee wishes to use an alternate format for which a reference specification already exists, specific justification must be included in the grant application narrative.

National Information Exchange Model (NIEM)—the NIEM data model and tools are supported by a robust governance process and program management office. NIEM conformance is defined explicitly across a number of dimensions, including data modeling, XML representation, exchange development, and implementation. Detailed guidance on NIEM conformance for grantees can be found at <a href="https://www.niem.gov/aboutniem/grant-funding/Pages/implementation-guide.aspx">https://www.niem.gov/aboutniem/grant-funding/Pages/implementation-guide.aspx</a>. NIEM also maintains a repository of reusable exchange specifications that can be found at <a href="https://www.it.ojp.gov/default.aspx?area=implementationAssistance&page=1108">https://www.it.ojp.gov/default.aspx?area=implementationAssistance&page=1108</a>.

Global Reference Architecture (GRA)—the GRA provides both a reference architecture to speed agency adoption of Service-Oriented Architecture (SOA)-based approaches to information sharing, as well as a standard methodology for developing particular service specifications that align with specific business functions. Conformance to the GRA generally relies on adherence to the GRA Framework for the former and to the GRA Service Specification Guidelines for the latter. Detailed guidance on GRA implementation for grantees can be found at <a href="http://www.it.ojp.gov/default.aspx?area=nationalInitiatives&page=1015">http://www.it.ojp.gov/default.aspx?area=nationalInitiatives&page=1015</a>. On the same page can be found a listing of reference service specification packages (SSPs) that should be reused whenever applicable.

Global Federated Identity and Privilege Management (GFIPM)—the GFIPM specifications and guidelines are designed to support secure access to various information systems based on commonly understood and applied protocols for user access and attribute-based access control policies. Rather than serving as a universal approach to securing justice information systems, GFIPM should be used in particular cases where regional, multijurisdictional, or cross-boundary information sharing is occurring and there is a need to create a "federation" of participants who must agree on policy and technical solutions to satisfy interoperability requirements. Conformance to GFIPM primarily relies on use of the GFIPM Metadata standard and adherence to operational policies and procedures. Detailed guidance on GFIPM implementation can be found at <a href="http://www.it.ojp.gov/default.aspx?area=nationalInitiatives&page=1179">https://www.it.ojp.gov/default.aspx?area=nationalInitiatives&page=1179</a>.

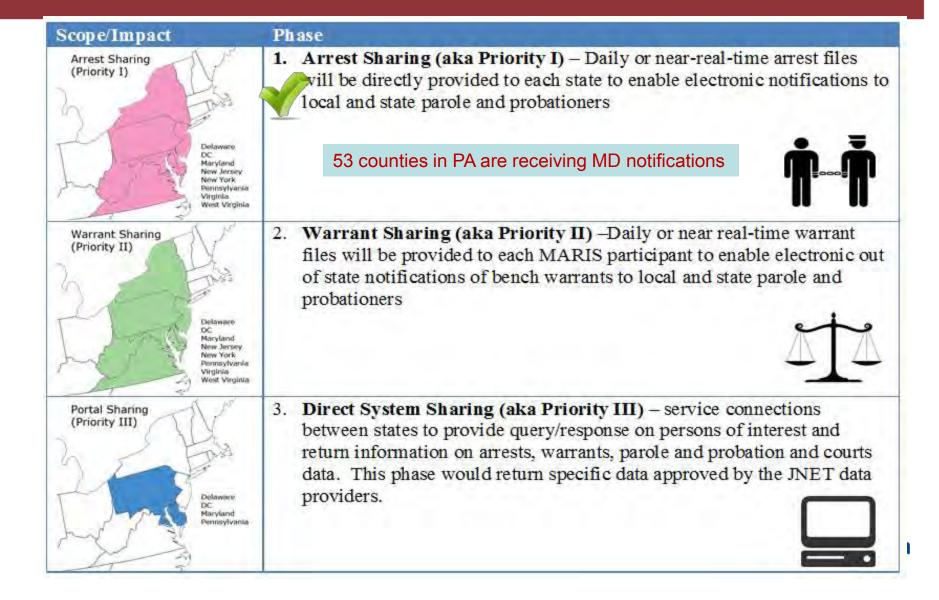
As stated above, compliance with the GSP is dependent on the grantee conforming to each of the GSP's normative components above, whenever applicable. For instance, if the grantee is supporting a project to integrate two reporting systems that already operate within the same security environment and there are no new access control provisions required, then conformance to the NIEM and GRA components of the GSP will be sufficient to satisfy the requirement to comply with the GSP. In general, OJP does not certification of software, tools, etc., to verify conformance. However, additional requirements may be imposed by particular funding programs. In cases where software or services are being procured from private sector partners, the grantee should follow procedures such as those recommended by the IJIS Institute to ensure that procured services are in fact conformant. See <a href="http://www.ijis.org/">http://www.ijis.org/</a> resources/pre <a href="repcD.html">repcD.html</a>.

In addition to complying with the GSP, grantees are also required to adequately address the protection of privacy and civil liberties of those subjects whose data are being shared. OJP requires that prior to implementation of an information exchange solution that such exchange must be governed by an appropriate privacy policy that meets the minimum standards as described by DOJ's Global Privacy Guide. If the exchange is covered under an existing or umbrella policy, then such policy should be noted and communicated to the grant office prior to execution. For a comprehensive set of resources to address privacy protection in information sharing projects, please visit <a href="http://www.it.ojp.gov/privacy">http://www.it.ojp.gov/privacy</a>.

Does the applicant agency agree to the above terms?



### **Interstate ISE Connections**



### **JNET Stakeholders**

- Local, county, state and federal justice professionals across Pennsylvania:
  - 54 state organizations;
  - 57 federal agencies in 42 field offices;
  - 67 counties connected to JNET;
  - 900+ municipal police departments;
  - All 577 magisterial district judge's offices;
     and

ADAMS

■ 35,000-users



### **JNET Applications**

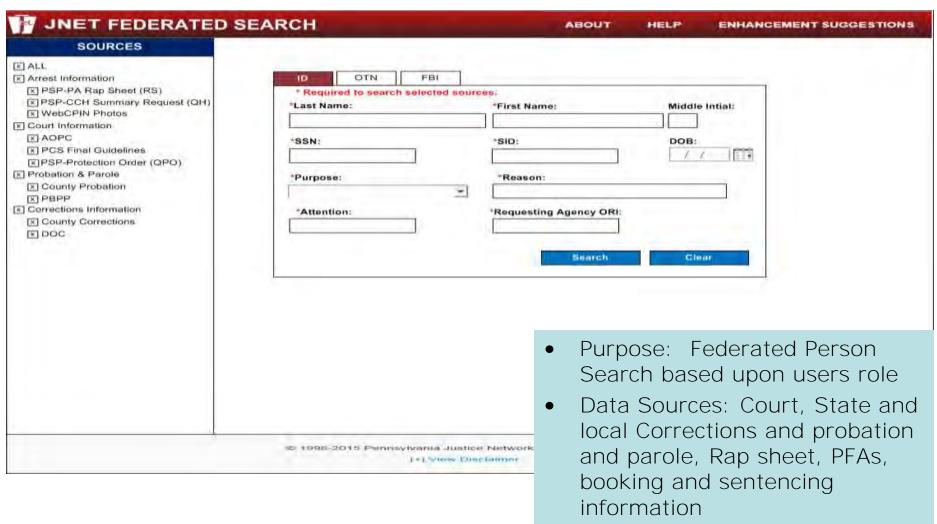
- AOPC UJS Portal
- Crime Network (CNET)
- DCNR ATV and Snowmobile Inquiry
- DOH Birth Record Inquiry
- Domestic Relations Warrants
- DPW Recipient Address Inquiry
- Electronic Reporting Statistics
- JNET Address Search
- JNET Automated Registration System
- JNET Background Check
- JNET Photo Search
- JNET Warrant Search
- JNET Warrant Correction
- JNET CLEAN
- JNET Facial Recognition System (JFRS)
- JNET User Provisioning System
- Justice Data Flexible Search (JDFS)
- Juvenile Case Management Systems
- Juvenile Tracking System
- Learning Management System (LMS)
- Law Enforcement Justice Information Web Interface

- Notifications
- PA Department of Labor & Industry Employment Query
- PBPP-259
- PCCD Constable Query
- PCCD Deputy Sheriff Query
- PennDOT In-Transit Tag
- PennDOT Emergency Contact
- PennDOT License/Registration Pickup
- PennDOT Photos/History
- PennDOT Vehicle Registration
- Pre-sentence Investigation (PSI)Index
- Protection from Abuse Database (PFAD)
- PSP Clean PortalXL
- Sentencing Guidelines Software (SGS Web)
- Search by SID
- Statistical Reports
- User Transaction Log Lookup Report
- WebCPIN
- Web Services Monitoring Tool

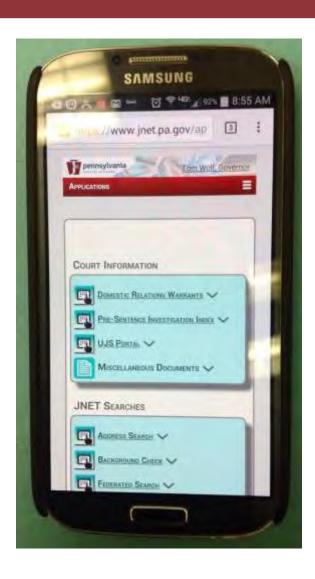


### **Federated Person Search**

One search hits against numerous data sources



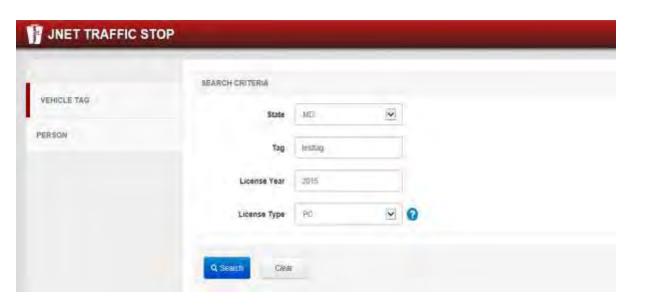
### **Results in Mobile Environment**



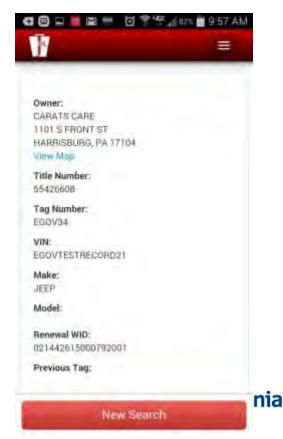




# **JNET Traffic Stop**



- Purpose: Assist LE on traffic stop.
   Provides an officer with vehicle and driver information.
- Data Sources: Vehicle status, hot file, drivers license records, court warrants and domestic relations warrants



- AOPC Court Case Event Message
- AOPC Court Case Request/Reply
- AOPC Court E-filing
- CCH Adult Disposition
- CCH Juvenile Disposition
- CCH Juvenile Supervision
- CCH Sentence Status Summary
- County Protection from Abuse Filing
- DCNR ATV Service
- DOC Inmate Misconduct
- DOH Birth Certificate
- DOH Deceased Persons
- Fish and Boat Registration Service
- JNET Address Search Service
- JNET ER Inmate Information Service (ERII)
- JNET ER Inquiry Service
- JNET ER Subscription Service
- JNET County Probation Service (ER2P)

- JNET Photo Service
- JNET Warrant Service
- Maryland Arrest
- Master Charge Code Services
- PACSES (DRO) Child Support Warrant
- PBPP Parole Violations
- PCCD Contact
- PCS Sentencing Guidelines
- PCS SGS Web Query Service
- PennDOT Driver Address Change
- PennDOT Driver Service
- PennDOT Vehicle Service
- PSP ORI Updates
- PSP Wants
- Victim Case Association
- Victim Offense Office of Victim Advocate
- Victim Payment
- Warrant Data Exchange Service

JNET Delivers over 800 million messages annually



#### **JNET Notification Service**

- The JNET Notification Service provides alerts to criminal justice practitioners when critical events occur regarding an individual or a case.
- Notification events include:
  - Adult Arrests
  - Wants/Warrants
  - Statewide Court Dispositions
  - State Parole Violation
  - PennDOT Change of Address
  - Deceased Persons
  - County Prison Admissions and Releases
  - County Adult Probation Case Events
- Recipients of these messages are then notified via email.

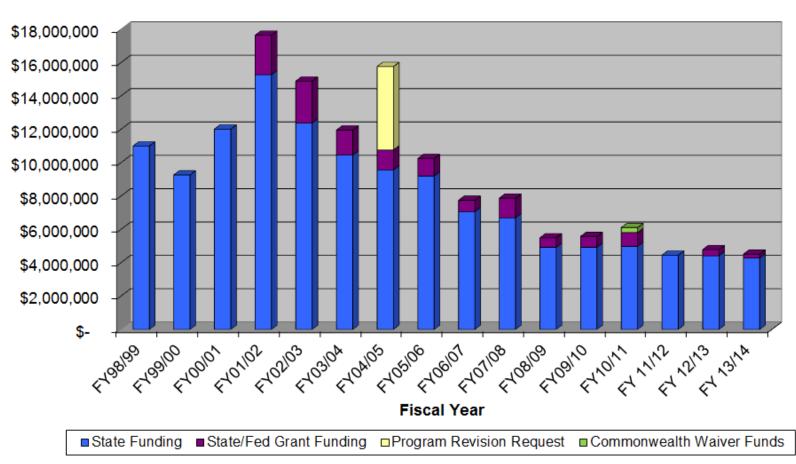
Over 2,000,000 notifications have been confirmed!





# JNET Budget

#### **JNET Budget History**





# Unique Identifier (OTN/SID)



#### **Captures Offender Arrest Information:**

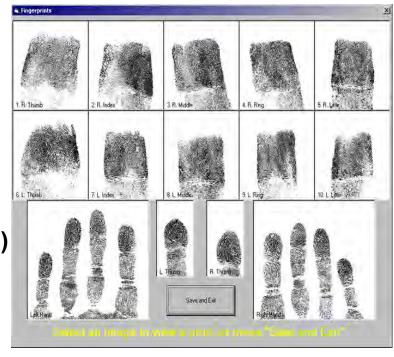
Criminal Complaint data Palm Prints Fingerprints (10 print) Photographs

Completes Criminal History Background Check (PA, other states and federal

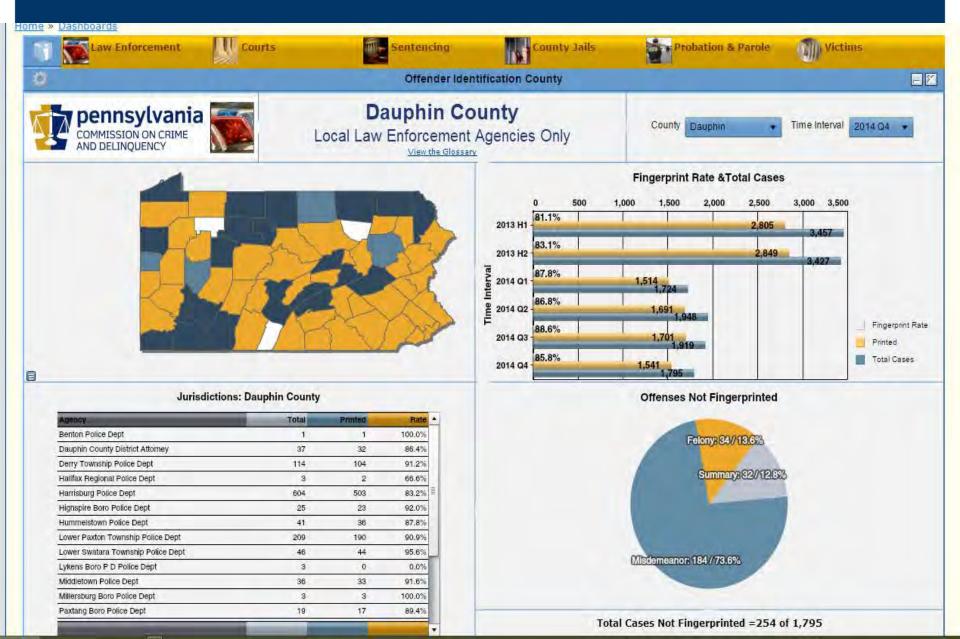
systems).

**Updates Criminal History Records** and assigns a State Identifier (SID) to the offender if first offense.

**Assigns an Offense Tracking Number (OTN) for the offense** to be used by the PA Courts and PSP for records.



## **Fingerprint Compliance Dashboard**



## **Data to Inform Policymaking**



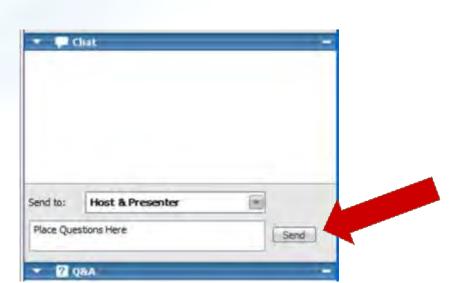
## **County CJAB Information**



## Q&A

To submit questions for the presenters please use the chat feature on the right hand side of your screen.

Please select Host and Presenter





#### Q&A



Paul Wormeli, President Wormeli Consulting



Kshemendra Paul, Program Manager Information Sharing Environment



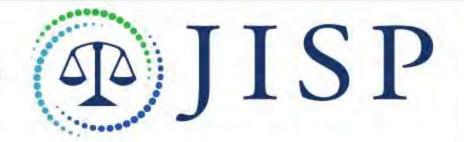
Moderator, Tammy Woodhams Senior Staff Associate NCJA Center for Justice Planning







Justice Information Sharing Practitioners Network



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DME

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DISCUSSIONS

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STATE PROFILES

**FIND MEMBERS** 

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WHAT IS JISPNET? SETTING STARTED



#### Get Access to Members-Only Features!

Join JISP and get members-only access to news and events, discussions, virtual library, and practitioner network

Sign up today! Membership is free!

inks and Announcements

in The Know

Community Activity

Welcome to the JISP website!

Our virtual network connects more than 1.200 local, state, regional, and tribal justice

CAY.

Recent Blog Posts



JISP Launches a New Website!

By: Tammy Woodhams one year ago

Latest Conversations



Webinar: Understanding the Myths Surrounding Health...

#### NAJIS Conference







#### Thank You for Joining Us!

The webcast and slides from today's webinar will be posted at <a href="http://www.ncja.org/webinars-events/state-jis-enhancement">http://www.ncja.org/webinars-events/state-jis-enhancement</a>

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